

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Rodger Fehlhaber ET AL.  
 Appln. No.: Not yet assigned  
 Filed: Herewith  
 For: METHOD FOR FABRICATING A  
 SHORT CHANNEL FIELD-  
 EFFECT TRANSISTOR  
 Attorney Docket No: 10808/199

Examiner: Not yet assigned  
 Art Unit: N/A

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
6,225,201 B1	May 1, 2001	Gardner et al.
6,008,096	December 29, 1999	Gardner et al.
5,397,909	March 14, 1995	Moslehi
5,391, 510	February 21, 1995	Hsu et al.
<b>FOREIGN PATENT DOCUMENTS</b>		
DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
WO 02/47146 A2	June 13, 2002	WO
JP 02 060131 A	February 28, 1990	Japan
<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b>		
XP 000648245, S.A. Abbas and I.E. Madgo, <i>Formation of Sub-Micron Patterns with Negligible Tolerance</i> , pgs. 2732-2738, IBM Disclosure Bulletin, Vol. 26, No. 6, November 1983.		
Copy of International Search Report from International application number PCT/DE03/02072.		
Copy of International Examination Report from International application number PCT/DE03/02072.		

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

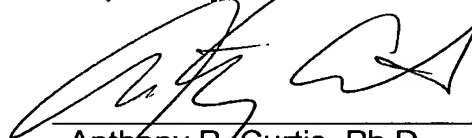
DT15 Rec'd PCT/PTO 06 JAN 2005

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

1/6/05  
\_\_\_\_\_  
Date

  
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Anthony P. Curtis, Ph.D.  
(Reg. No. 46,193)

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10/520743  
CASE NO. 10808/199

FORM PTO-1449	SERIAL NO. Not yet assigned	10808/199
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE Herewith	GROUP ART UNIT Not yet assigned
APPLICANT(S): Rodger Fehlhaber et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,225,201 B1	May 1, 2001	Gardner et al.		
	A2	6,008,096	December 29, 1999	Gardner et al.		
	A3	5,397,909	March 14, 1995	Moslehi		
	A4	5,391, 510	February 21, 1995	Hsu et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A5	WO 02/47146 A2	June 13, 2002	WO		
	A6	JP 02 060131 A	February 28, 1990	Japan		

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A7	XP 000648245, S.A. Abbas and I.E. Madgo, <i>Formation of Sub-Micron Patterns with Negligible Tolerance</i> , pgs. 2732-2738, IBM Disclosure Bulletin, Vol. 26, No. 6, November 1983.
	A8	Copy of International Search Report from International application number PCT/DE03/02072.
	A9	Copy of International Examination Report from International application number PCT/DE03/02072.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.